HEALTH UTILIZATION MANAGEMENT CERTIFICATIONS v1.0



Foundational Focus Areas – applicable to all HUM Certifications

MANDATORY REQUIREMENTS

HCM-MDY 1: Risk Management
HCM-MDY 1-1: Information Systems Management
HCM-MDY 2: Regulatory Compliance and Internal Controls
HCM-MDY 2-1: Regulatory Compliance Maintained
HCM-MDY 3: Business Ethics
HCM-MDY 3-1: Code of Ethical Business Conduct
HCM-MDY 4: Quality Management
HCM-MDY 4-1: Quality Management Program Accountability

RISK MANAGEMENT

HCM-RM 1: Risk Management Strategy
HCM-RM 1-1: Policy Addressing Reporting of Violations
HCM-RM 1-2: Information Systems Risk Management
HCM-RM 1-3: Business Continuity Plan
HCM-RM 1-4: Business Continuity Plan Testing

OPERATIONS AND INFRASTRUCTURE

HCM-OPIN 1: Business Management
HCM-OPIN 1-1: Written Business Agreements
HCM-OPIN 1-2: Policy Maintenance, Review and Approval
HCM-OPIN 2: Staff Management
HCM-OPIN 2-1: Staff Management
HCM-OPIN 2-2: Staff Training Programs
HCM-OPIN 2-3: Staff Member Performance Review

PERFORMANCE MONITORING AND IMPROVEMENT

HCM-PMI 1: Quality Oversight Procedures and Responsibilities HCM-PMI 1-1: Quality Management Program Scope HCM-PMI 1-2: Quality Management Program Structure and Oversight HCM-PMI 1-3: Quality Management Program Implementation

HCM-PMI 1-5: Quality Management Program Implementation HCM-PMI 1-4: Data Management and Performance Reporting HCM-PMI 1-5: Quality Management Program Evaluation

CONSUMER PROTECTION AND EMPOWERMENT

HCM-CPE 1: Protection of Consumer Information HCM-CPE 1-1: Privacy and Security of Consumer Health Information HCM-CPE 2: Health Care Ethical Practices HCM-CPE 2-1: Defining Health Care Ethical Practices HCM-CPE 2-2: Monitoring of Ethical Health Care Practices HCM-CPE 2-3: Financial Incentives HCM-CPE 3: Oversight of Clinical Services HCM-CPE 3-1: Clinical Staff Credentialing HCM-CPE 3-2: Clinical Staff Leadership HCM-CPE 4: Safeguards and Communication with Consumers HCM-CPE 4-1: Consumer Safety Protocols HCM-CPE 4-2: Not Applicable to HUM Certifications HCM-CPE 4-3: Not Applicable to HUM Certifications HCM-CPE 4-4: Consumer Complaint Process HCM-CPE 4-5: Not Applicable to HUM Certifications

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HEALTH UTILIZATION MANAGEMENT CERTIFICATIONS v1.0



HUM: Pre-Review Screening Certification v1.0

PRE-REVIEW SCREENIING – MANDATORY REQUIREMENTS

PRS-MDY 1: Limitations of Pre-Review Screening PRS-MDY 1-1: Pre-Review Screening Policy

PRE-REVIEW SCREENING

PRS-HUM 1: Pre-Review Screening Process PRS-HUM 1-1: Pre-Review Screening Staff Resources PRS-HUM 1-2: Review Service Communication Time Frames PRS-HUM 1-3: Non-Clinical Staff Provide Administrative Support

HUM: Initial Clinical Review Certification v1.0

INITIAL CLINICAL REVIEW – MANDATORY REQUIREMENTS

ICR-MDY 1: Limitations of Initial Clinical Review ICR-MDY 1-1: Initial Clinical Review Policy

INITIAL CINICAL REVIEW

ICR-HUM 1: Initial Clinical Review Process ICR-HUM 1-1: Initial Clinical Reviewer Resources ICR-HUM 1-2: Notification Time Frames ICR-HUM 1-3: Lack of Information Policy ICR-HUM 1-4: Information Upon Which to Base Review Determinations ICR-HUM 1-5: Certification Decision Notice

HUM: Clinical Review Criteria Certification v1.0

CLINICAL REVIEW CRITERIA – MANDATORY REQUIREMENTS

CRC-MDY 1: Clinical Review Criteria CRC-MDY 1-1: Review Criteria Requirements

HUM: Clinical Decision Support Certification v1.0

CLINICAL DECISION SUPPORT – MANDATORY REQUIREMENTS

CDS-MDY 1: Protection of Consumer Information CDS-MDY 1-1: Clinical Decision Support

CLINICAL DECISION SUPPORT

CDS-HUM 1: Clinical Decision Support Process CDS-HUM 1-1: Notification Time Frames CDS-HUM 1-2: Lack of Information CDS-HUM 1-3: Information Upon Which to Base Review Determinations CDS-HUM 1-4: Certification Decision Notice CDS-HUM 1-5: Written Notice of Non-Certification Decisions CDS-HUM 1-6: Written Notice of Non-Certifications Upheld on Appeal

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